I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to:

Commissioner for Patents, Washington, D.C. 20231, on May 20, 2002

Date of Deposit

Bradley G. Lane

Name of applicant, assignee or Registered Representative

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May 20, 2002 Date of Signature

Case No. 396/360

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of:                          | )                          |
|--|----------------------------|
| ANDREW BOAST ET AL.                            | )<br>)<br>) Art Unit: 1723 |
| Serial No.: 10/087,119                         | ) Examiner: Not Assigned   |
| Filed: March 1, 2002                           | ) Examiner. Not Assigned   |
| For: SUMP FILTER WITH FILTER ELEMENT CARTRIDGE | )<br>)                     |

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents Washington, D.C. 29231

Dear Sir:

In conformance with 37 C.F.R. §§ 1.97 and 1.98, Applicant wishes to

bring to the attention of the Examiner the following.

| Country/PTO   | <u>Document</u> | <u>Patentee</u> | <u>Date</u> |
|---------------|-----------------|-----------------|-------------|
| United States | 2,418,247       | Dalzell         | 3/17/41     |
| United States | 4,228,012       | Pall            | 10/14/80    |
| United States | Re. 30,779      | Cooper          | 10/20/81    |
| United States | 4,608,166       | Cain            | 8/26/86     |
| United States | 5,049,271       | Cain            | 9/17/91     |
| United States | 5,312,546       | Janik           | 5/17/94     |
| United States | 5,534,145       | Platter et al.  | 7/9/96      |
| United States | 6,105,789       | Boast           | 8/22/00     |

Applicant wishes to bring the documents listed on the attached Form PTO-1449 to the attention of the Examiner in the above-captioned application for U.S. Letters Patent. The Examiner is invited to review the full disclosure of these documents for his or her own independent evaluation of their pertinence to the invention claimed. A copy of each of the referenced documents is enclosed herewith, along with a copy of Form PTO-1449.

Applicant requests that these documents be included in the record of this

application.



Respectfully submitted,

Bradlev G. Lane

Registration No. 33,411 Attorney for Applicant

BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200

Dated: May 20, 2002

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| 3 .54   | TRANSMITTAL LETT             | ER       | Case No. 396/360       |
| Serial No. 10FMARK OF 10/087,119                  | Filing Date<br>March 1, 2002 | Examiner | Group Art Unit<br>1723 |
| Inventor(s)<br>Andrew Boast et al.                |                              |          |                        |
| Title of Invention<br>Sump Filter With Filter Ele | ement Cartridge              |          |                        |

|                             |   |                                  | TO THE CO   | OMMISSIONER I                                       | FOR PA           | TENTS              |              |         |                    |                       |     |
|-----------------------------|---|----------------------------------|---|---|------------------|--------------------|--------------|---------|--------------------|-----------------------|-----|
|                             | Transmitted he  | rewith is <u>Inf</u>             | formation Disclos   | ure Statement;                                      | PTO-14           | 49; cited r        | eferences .  |         |                    |                       |     |
|                             | Small entity sta  | atus of this                     | application unde  | r 37 CFR <sup>*</sup> § 1.2                         | 7 has t          | een estab          | lished by ve | erified | statement p        | reviously             |     |
|                             | A verified state  | ment to est                      | ablish small entit  | y status under 3                                    | 37 CFR           | §§ 1.9 and         | d 1.27 is en | close   | d.                 | CEN                   | VFD |
|                             | Petition for a _  | month                            | n extension of tim  | ie.   |                  |                    |              |         | МД                 | CEIV<br>2 4 20<br>170 | 200 |
| $\boxtimes$                 | No additional fe  | e is require                     | d.  |   |                  |                    |              |         | anger 1.4          | 4 - 20                | IUZ |
|                             | The fee has be  | en calculate                     | d as shown belov  | v:  |                  |                    |              |         | 10                 | 170                   | 0   |
| _                           |   |                                  |   |   |                  |                    |              |         | Other              |                       |     |
|                             | γ   | William .                        |   | <del></del>   | - F              | Small              | Entity       | ٦ .     | Small              | Entity                | 7   |
|                             | Claims<br>Remaining<br>After<br>Amendment               |                                  | Highest No.<br>Previously<br>Paid For                     | Present<br>Extra                                    | R                | ate                | Add'I<br>Fee | or      | Rate               | Add'l<br>Fee          |     |
| Total                       |   | Minus                            |   | <del></del>   | 1                | x \$9=             |              | 1       | x \$18=            |                       |     |
| Indep.                      |   | Minus                            |   |   |                  | x 42=              |              |         | x \$84=            |                       |     |
| First Pre                   | sentation of Mul  | tiple Dep. C                     | laim  |   |                  | +\$140=            |              |         | + \$280=           |                       | 1   |
|                             |   |                                  |   |   |                  | Total<br>add'I fee | \$           |         | Total<br>add'l fee | \$                    |     |
|                             | Please charge<br>duplicate copy                         |                                  | count No. 23-19<br>t is enclosed.                         | 25 (BRINKS HO                                       | OFER G           |                    | JONE) in th  | ne am   |                    | A                     | J   |
|                             | A check in the  | amount of                        | to cover  | the filing fee is                                   | enclose          | d.                 |              |         |                    |                       |     |
| $\boxtimes$                 | 1.16 and any p  | atent applic                     | by authorized to<br>ation processing<br>it Account No. 23 | fees under 37 (                                     | CFR § 1          | .17 associ         | ated with th | nis co  | mmunication        |                       |     |
|                             | • •   | narge any a                      | CFR § 1.136(a) ssociated fees what is enclosed.           | •   |                  |                    |              |         |                    | •                     |     |
|                             |   |                                  |   | Respectfull  Bradley G.  Registration  Attorney for | Land<br>n No. 3: | 7. Ja<br>3, 411    | one.         | -       |                    |                       |     |
| P.O. BO                     | HOFER GILSON (<br>X 10395<br>O, ILLINOIS 606<br>21-4200 |                                  |   |   | и Аррис          | ant                |              |         |                    |                       |     |
| first class n<br>Washingtor | nail, with sufficient po<br>n, D.C. 20231, on May       | stage, in an env<br>, 20, 2002ª. | deposited with the Un<br>velope addressed to: C           | ommissioner for Pate                                | ents,            |                    |              |         |                    |                       |     |
| Date: M                     | ay 20, 20   | 02 Signa                         | ture: Brad  | legio. X  | av               |                    |              |         |                    |                       |     |

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### FORM PTO-1449

# LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

MAY 2 3 2002

(use several sheets if necessary)

| SERIAL NO.   |                   | CASE NO.       |
|--------------|-------------------|----------------|
|              | 10/087,119        | 396/360        |
| FILING DATE  |                   | GROUP ART UNIT |
|              | March 1, 2002     | 1723           |
|              |                   |                |
| APPLICANT(S) | ): Andrew Boast e | et al.         |

### REFERENCE DESIGNATION

### **U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL |     | DOCUMENT<br>NUMBER | DATE     | NAME           | CLASS/<br>SUBCLASS | FILING<br>DATE  |
|------------------|-----|--------------------|----------|----------------|--------------------|-----------------|
|                  | A1  | 2,418,247          | 3/17/41  | Dalzell        |                    |                 |
|                  | A2  | 4,228,012          | 10/14/80 | Pall           |                    |                 |
|                  | A3  | Re. 30,779         | 10/20/81 | Cooper         |                    |                 |
|                  | A4  | 4,608,166          | 8/26/86  | Cain           |                    |                 |
|                  | A5  | 5,049,271          | 9/17/91  | Cain           |                    |                 |
|                  | A6  | 5,312,546          | 5/17/94  | Janik          | H-CE               | 11/10           |
|                  | A7  | 5,534,145          | 7/9/96   | Platter et al. | -                  | VED             |
|                  | A8  | 6,105,789          | 8/22/00  | Boast          | MAY 21             | 2000            |
|                  | A9  |                    |          |                |                    | <del>2002</del> |
|                  | A10 |                    |          |                | TC 17              | 0.0             |
|                  | A11 |                    |          |                | 1017               | UU -            |
|                  | A12 |                    |          |                |                    |                 |
|                  | A13 |                    |          |                |                    |                 |

#### **FOREIGN PATENT DOCUMENTS**

| EXAMINER INITIAL |     | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS/<br>SUBCLASS | TRANS<br>YES | LATION<br>NO |
|------------------|-----|--------------------|------|---------|--------------------|--------------|--------------|
|                  | A14 |                    |      |         |                    |              | 1            |
|                  | A15 |                    |      |         |                    |              |              |
|                  | A16 |                    |      |         |                    |              |              |
|                  | A17 |                    |      |         |                    |              |              |
|                  | A18 |                    |      |         |                    |              |              |

| EXAMINER<br>INITIAL |     | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |
|---------------------|-----|--|
|                     | A19 |  |
|                     | A20 |  |
|                     | A21 |  |
|                     | A22 |  |
|                     | A23 |  |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Rev. Feb. 97